

## ARTICLE 24

### RESEARCH ASSISTANTS AND RESEARCH ASSOCIATES

The titles of Research Assistant and Research Associate are used exclusively for individuals who support grant-funded or contract-funded research activities. The specific conditions of employment shall be identified in offer letters of employment or as modified on renewal appointments as they occur. Reasonable changes in hours may be required with four weeks' notice. The normal University workweek will apply with provision for compensatory time in accordance with mutually agreed upon guidelines.

#### 24.1 Salaries Annual Salary Adjustments

Research Assistants and Research Associates shall receive the percentage merit amount specified under Article 19 of this agreement in each contract year. the following increment in lieu of a merit award provided under Article 19 of the Agreement. The amount shall be added to the base salary of each individual with this title who qualifies and who has been employed as of April 1 of that calendar year and is still employed on the effective date of the increase:

~~Effective August 3, 2007 an amount of \$1050~~

~~Effective August 1, 2008 an amount of \$1070~~

~~Effective August 14, 2009 an amount of \$1090~~

~~Effective August 13, 2010 an amount of \$1100~~

#### 24.2 Benefits

A. Full-time Research Assistants and Research Associates shall be entitled to leaves with pay according to the following schedule. Research Assistants and Research Associates appointed at less than full time or for durations less than one year will be entitled to leaves with pay on a pro-rated basis. Vacation and sick leave must be requested through the University system by the employee. These benefits will be managed through the University's time reporting system. Leave not taken annually will be neither carried over nor compensated.

##### 1. Vacation Leave

Effective on the appointment date and each anniversary date of such appointment thereafter, Research Assistants and Research Associates shall accrue a maximum of 22 vacation days annually. Annually 22 days maximum for vVacation will be taken at a time mutually agreed to by the supervisor and the employee. There will be no accrual of vacation beyond the end date of a funding grant, funding contract, or employment contract.

##### 2. Holidays

Legal holidays as enumerated below:

New Year's Day, Martin Luther King Day, Presidents' Day, Lincoln's Birthday, Washington's Birthday, Good Friday, Memorial Day, Fourth of July, Labor Day, Columbus Day, Veterans' Day, Thanksgiving Day, Christmas.

If a holiday falls on a day when a person is expected to be on duty, he/she will earn a compensatory day off to be taken at a time mutually agreed to by the supervisor and the employee.

### 3. Sick Leave

~~Annually 15 days maximum for sick leave will be available to each Research Assistant and Research Associate. Sick Leave Decisions concerning sick leave for personal illness will be handled by the administration in conformity with the University of Connecticut Laws and By Laws (Revised June 20, 2006 August 5, 2015), Section XV.K.4. Effective on the appointment date and each anniversary date of such appointment thereafter, Research Assistants and Research Associates shall receive paid sick leave for bona fide personal illness up to fifteen (15) work days annually. Employees may be required to provide an acceptable medical certificate. There will be no accruals of sick leave or vacations beyond the end dates of a funding grant, funding contract or employment contract.~~

### 4. Personal Leave

Effective August 23 of each year of the contract each Research Assistant and Research Associate shall be credited with one day of personal leave to be taken as needed for the conduct of personal business or religious observance. As much advance notice as possible will be given to the supervisor or manager when personal leave is taken. ~~Leave not taken annually will be neither accrued nor compensated.~~

- B. 1. Human Resources will provide to requesting Research Assistants and Associates who are not renewed a listing of current openings with similar titles within the University.
- 2. Research Assistants and Associates may be hired on multiple year appointments not to exceed the term of the grant.

### 24.3—A. Layoffs

This section pertains to Research Assistants and Research Associates who are ~~terminated~~ laid off prior to the end-date of their appointment.

A. In cases where employees supported by grant funds and contracts receive less than (30) thirty-days' notice of ~~termination layoff~~ from their supervisors, such employees shall be eligible for a prorated cash payment for the portion of the (30) thirty-days' notice period not given. ~~Such payments shall be reserved from the total indirect cost of all grants.~~ A pool of no more than \$20,000 shall be set aside for all such cases. In no instance shall any individual receive the equivalent of more than two (2) pay periods of salary.

B. Dismissal for cause is not subject to the above schedule.

#### 24.4 Written Warnings and Reprimands

A. Supervisors may issue written warnings and reprimands following discussion with the bargaining unit member, who may request attendance of a UConn-AAUP representative.

~~B. Only supervisors who are not in the bargaining unit may issue reprimands following discussion with the bargaining unit member, who may request attendance of a UConn-AAUP representative.~~

B. Within seven (7) calendar days of receiving notice of the action, the employee may make a written request to the initiator of the action to review the decision. The bargaining unit member may request the attendance of a UConn-AAUP representative.

C. Within seven (7) calendar days of the review, the employee may appeal the decision to the appropriate Dean, Director, or Provost.

D. The decision of the Dean, Director or Provost is final and may not be appealed to arbitration on substantive or procedural grounds.

#### 24.5 Dismissal for Cause Procedures

This section refers solely to disciplinary action resulting in loss of pay or dismissal for cause during the term of an employment contract.

The Parties agree that dismissal for cause during the term of the contract of a ~~temporary employee~~ Research Assistant or Research Associate shall follow the procedure outlined below:

A. The employee shall receive a written statement of the reasons for which the action is being initiated.

- B. Within ~~five (5)~~ seven (7) calendar days of receiving notice of the action, the employee may make a written request to the initiator of the action to review the decision.
- C. Within ~~five (5)~~ seven (7) calendar days of receiving the review (see item B above) the employee may appeal the decision to the appropriate Dean, Director, or Provost.
- D. The decision of the Dean, Director, or Provost is final and may ~~not~~ be appealed to arbitration on ~~substantive or procedural~~ grounds only.
- ~~E. In cases where the Research Assistant or Research Associate claims and is prepared to show evidence that the Dean, Director, or Provost's decision in (D) above was arbitrary and capricious, or that the discipline was based on inaccurate facts or that the discipline is too severe for the infraction, the final decision may be appealed to an internal disciplinary review panel. Such appeal must be made within seven (7) calendar days of receiving the Dean, Director, or Provost's decision in (D) above.~~
- ~~F. Composition of the Internal Disciplinary Review Panel for Research Assistants and Research Associates:~~

~~The UConn AAUP shall select one person from within the bargaining unit. The Administration shall select one person from the administration and these two individuals shall choose a third who is mutually agreeable. The third person shall be or have been a principal investigator and/or be very knowledgeable about the management of grant funds. The three-person panel shall hold a due process hearing on the Research Assistant or Research Associate's claim and render a decision in writing within twenty-one (21) calendar days of the appeal at (E) above. If the majority of the panel upholds the position of the employee, they shall either reduce or rescind the disciplinary action or remand the decision to correct any procedural deficiencies. The decision of the panel shall be final and binding. No disciplinary action, including dismissal for cause, is grievable or arbitrable under the collective bargaining contract.~~

#### 24.6 Reclassification Process

A. Requests for reclassification (promotion) may be made by either the Principle Investigator (PI) or the Research Assistant or Research Associate and forwarded through the Human Resources department when:

- 1. The incumbent meets the minimum education and/or experience requirements of the next level as identified in the criteria listed on the Human Resources webpage ~~as of December 1, 2016~~; and

2. When assigned job duties meet the description of the next level.

The method of classification analysis shall be a job audit performed by specialists in the Department of Human Resources.

~~Salary increases that are approved for other than reclassifications are normally added when the scheduled collective bargaining increase occurs.~~

B. Human Resources shall supply the reclassification ~~questionnaire~~application within 1 (one) week ~~after receiving a written request for reclassification.~~ The ~~employee~~ Research Assistant/Associate will complete the ~~questionnaire~~ application and return it to Human Resources together with a copy of his/her curriculum vitae (CV). The PI, Department Head, and/or Dean/Director will confirm the information on the ~~questionnaire~~application, and the ~~questionnaire~~ application shall be returned to Human Resources.

C. ~~Except in unusual circumstances, W~~within 2 (two) weeks ~~3 (three) weeks~~ four (4) weeks ~~a reasonable time~~ following receipt of the ~~questionnaire~~application, Human Resources will complete ~~an its~~ audit and summarize results in a report. The employee and the PI will be notified in writing of the audit results. ~~The process within Human Resources shall be completed within 2 weeks. Positive audit results will be retroactive to the submission date of the application. Audit results shall not be grievable.~~

D. If the audit process reveals that an existing higher-level job classification is appropriate, ~~they will notify the PI. The~~ the effective date of reclassification shall be ~~the submission date of the application~~ 14 days prior to the date the job change questionnaire was received in Human Resources ~~date Human Resources completes its classification audit.~~ The Research Assistant/Associate will have ~~their~~ his/her salary increased by \$500 to the ~~greater of either a \$1500 increase to their current salary or at least the lowest salary of the higher classification,~~ effective the date Human Resources completes its classification audit.

~~E. If it is not authorized, the duties identified within the audit report as being at the higher level shall be removed. The employee shall be compensated retroactive 14 days prior to the date the questionnaire was received in Human Resources through the date the higher level duties are removed at the rate of the lowest salary of the higher classification.~~

**Tentative Approval**

\_\_\_\_\_  
AAUP

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date

\_\_\_\_\_  
University of Connecticut

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Date